

## 1 Deformation bands in sandstone: a review

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9 **Abstract:** Deformation bands are the most common strain localization feature found in deformed porous  
10 sandstones and sediments, including Quaternary deposits, soft gravity slides and tectonically affected  
11 sandstones in hydrocarbon reservoirs and aquifers. They occur as various types of tabular deformation zones  
12 where grain reorganization occurs by grain sliding, rotation and/or fracture during overall dilation, shearing,  
13 and/or compaction. Deformation bands with a component of shear are most common and typically  
14 accommodate shear offsets of millimetres to centimetres. They can occur as single structures or cluster zones,  
15 and are the main deformation element of fault damage zones in porous rocks. Factors such as porosity,  
16 mineralogy, grain size and shape, lithification, state of stress and burial depth control the type of deformation  
17 band formed. Of the different types, phyllosilicate bands and most notably cataclastic deformation bands show  
18 the largest reduction in permeability, and thus have the greatest potential to influence fluid flow.  
19 Disaggregation bands, where non-cataclastic, granular flow is the dominant mechanism, show little influence  
20 on fluid flow unless assisted by chemical compaction or cementation.

21 Deformation of stiff, low-porosity rock in the uppermost few  
22 kilometres of the Earth's crust occurs primarily by fracturing.  
23 This can result in extensional fractures, such as joints and veins,  
24 or shear fractures such as slip surfaces, which generally form the  
25 primary deformation elements of faults in low-porosity rocks.  
26 The process of fault formation and propagation in brittle low-  
27 porosity rocks has been described in terms of linking of  
28 microfractures and the reactivation or linking of mesoscopic  
29 joints (e.g. Pollard & Fletcher 2005). The key element in a fault  
30 is the slip surface, where the majority of offset has accumulated.  
31 Surrounding fractures constitute an enveloping damage zone  
32 (Caine *et al.* 1996). Slip surfaces and extension fractures,  
33 structures that will be referred to in this paper as ordinary  
34 fractures, typically represent mechanically weak structures that  
35 are prone to reactivation and continued slip during subsequent  
36 stress build-up.

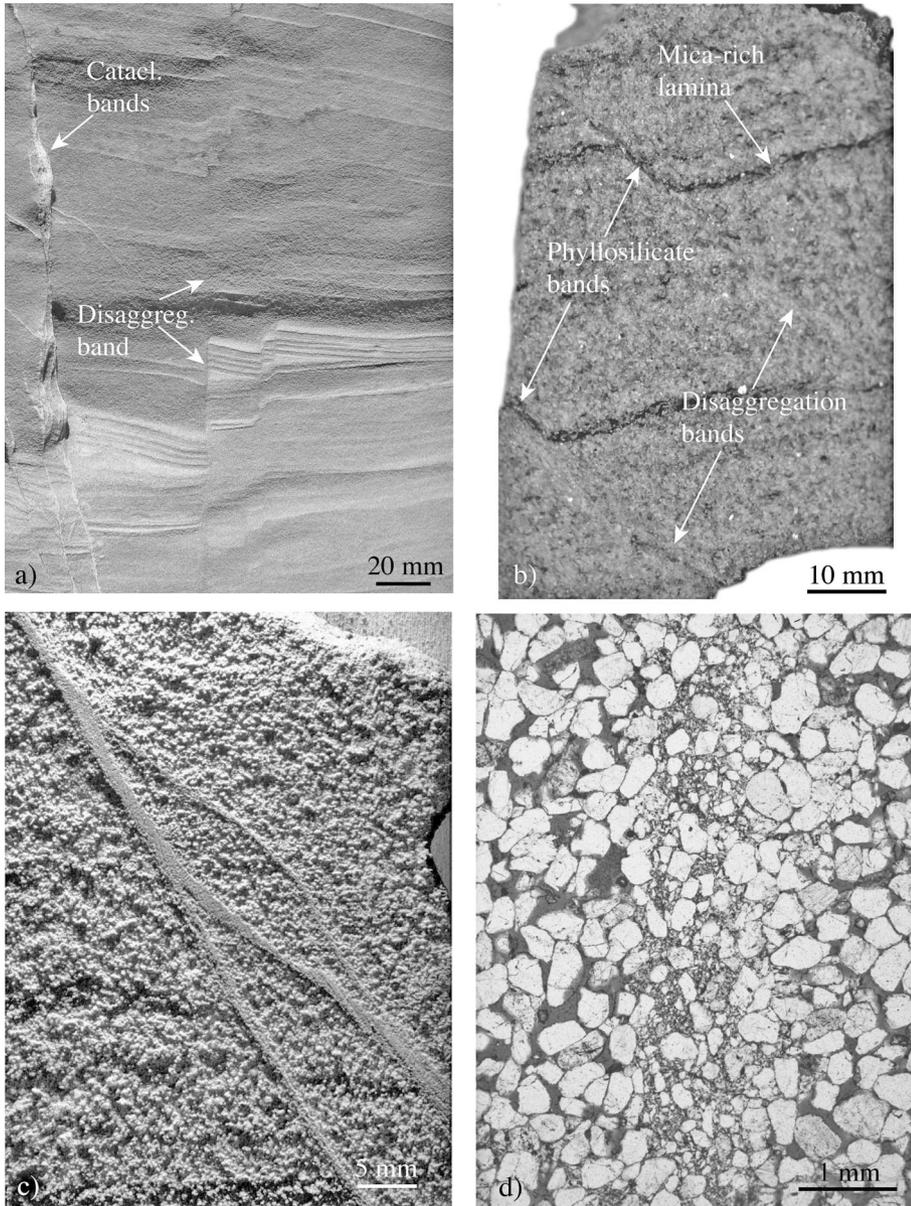
37 Strain in highly porous rocks and sediments is not initially  
38 accommodated by extensional fractures or slip surfaces. Instead,  
39 strain localization occurs by the formation of deformation  
40 structures commonly referred to as deformation bands. Localized  
41 (higher offset) faults subsequently form by the failure of  
42 deformation band zones.

43 Deformation bands in porous rocks are low-displacement  
44 deformation zones of millimetres to centimetres thickness (Fig.  
45 1) that tend to have enhanced cohesion and reduced permeability  
46 compared with ordinary fractures. Quaternary geologists find  
47 them in glacially or gravitationally deformed sand, where they  
48 may reveal information on the local glacial history. Sedimentol-  
49 ogists frequently encounter them in sandstones, where they may  
50 be generated during soft-sediment deformation or post-burial  
51 faulting. Petroleum geologists and hydrogeologists (should) look  
52 for them in cores from clastic reservoirs and aquifers because of  
53 their potential role as barriers or baffles to fluid flow (Pitman  
54 1981; Jamison & Stearns 1982; Gabrielsen & Koestler 1987;

1 Antonellini & Aydin 1994, 1995; Beach *et al.* 1997; Knipe *et al.*  
2 1997; Gibson 1998; Antonellini *et al.* 1999; Heynekamp *et al.*  
3 1999; Hesthammer & Fossen 2000; Taylor & Pollard 2000; Lothe  
4 *et al.* 2002; Shipton *et al.* 2002, 2005; Sample *et al.* 2006) and  
5 because they commonly indicate proximity to a larger offset  
6 fault. From an academic point of view, deformation bands  
7 deserve attention because they provide important information on  
8 the unique way that faults form in porous sandstones (e.g. Aydin  
9 & Johnson 1978; Johnson 1995; Davis 1999) and on progressive  
10 deformation in porous rocks in general (e.g. Wong *et al.* 2004;  
11 Schultz & Siddharthan 2005). In this paper we review the  
12 existing literature on deformation bands, present a classification  
13 of deformation bands based on deformation mechanism and  
14 discuss how the distinctive characteristics of deformation bands  
15 relates to burial depth, lithology and fluid flow.

### 16 Characteristics of deformation bands

17 The term deformation band has long been used in different ways  
18 in fields such as material science (e.g. Brown *et al.* 1968) and  
19 crystal–plastic deformation of rock (e.g. Passchier & Trouw  
20 1996); however, it was first applied in the context of sandstone  
21 deformation by Aydin and co-workers (Aydin 1978; Aydin &  
22 Johnson 1978, 1983). Since then, the term has gradually been  
23 adopted to encompass terms such as microfaults (Jamison &  
24 Stearns 1982), cataclastic faults (Fisher & Knipe 2001), faults  
25 (Manzocchi *et al.* 1998; Fisher *et al.* 2003), (micro)fractures  
26 (Borg *et al.* 1960; Dunn *et al.* 1973; Gabrielsen & Koestler  
27 1987), shear bands (Meméndez *et al.* 1996), deformation-band  
28 shear zones (Davis 1999), Lüders' bands (Friedman & Logan  
29 1973; Olsson 2000), cataclastic slip bands (Fowles & Burley  
30 1994), and granulation seams (Pitman 1981; Beach *et al.* 1999;  
31 Du Bernard *et al.* 2002*b*). The most important characteristics of



**Fig. 1.** (a) Disagggregation bands (centre, locally invisible) cut by cataclastic deformation bands (white) in the Navajo Sandstone, Utah. (b) Rapid variation from phyllosilicate band to disagggregation band in sandstone in Jurassic sandstone (Gullfaks Field, North Sea). Mica-rich layers are local sources of phyllosilicate minerals. (c) Phyllosilicate band, Brent Group, Gullfaks Field. The positive relief (increase of cohesion) and loss of porosity in the band should be noted. (d) Photomicrograph of a single cataclastic deformation band, showing a low-porosity cataclastic core mantled by a zone of compaction. Blue indicates pore space.

1 deformation bands (in the context of porous rock and sediment  
2 deformation) are summarized as follows.

3 (1) Deformation bands are restricted to porous granular media,  
4 notably porous sands and sandstones. The formation and evolution  
5 of a deformation band involves a significant amount of grain  
6 rotation and translation, and this process, whether it includes  
7 grain crushing or merely rotation and frictional sliding along  
8 grain boundaries, requires a certain amount of porosity. If  
9 porosity is too low, then tension fractures, stylolites and/or slip  
10 surfaces will preferentially form.

11 (2) A deformation band does not represent a slip surface. Slip  
12 surfaces can, however, form within bands or, more commonly, at  
13 the edge of zones of deformation bands, but this represents a  
14 more mature stage in the development of deformation band  
15 faults.

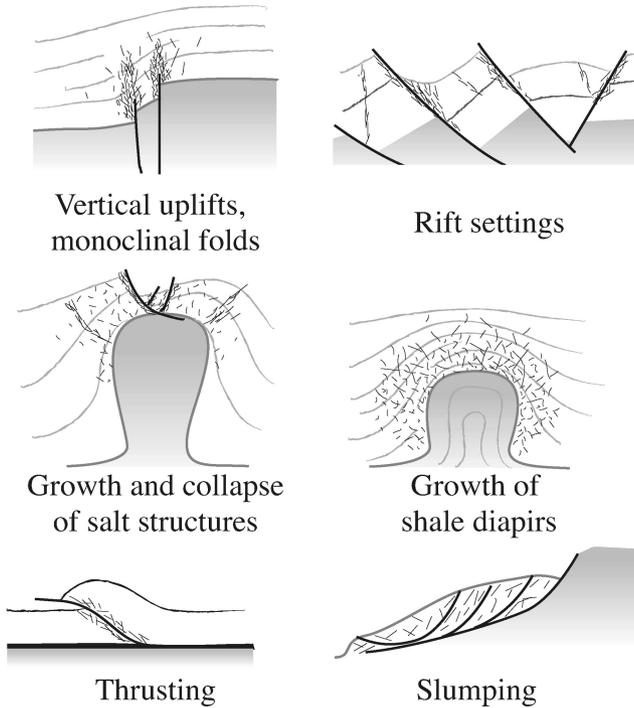
16 (3) Deformation bands occur hierarchically as individual  
17 bands, as zones of bands, or within zones associated with slip  
18 surfaces (also known as faulted deformation bands).

19 (4) Individual deformation bands rarely host offsets greater

1 than a few centimetres even when the bands themselves are  
2 100 m long. Localized higher-offset faulting in porous rocks  
3 commonly occurs by the failure of existing deformation band  
4 zones along a slip surface.

5 (5) Deformation bands are found in many upper-crustal  
6 tectonic and non-tectonic regimes (Fig. 2).

7 There are several important characteristics that distinguish  
8 deformation bands from ordinary fractures (such as slip surfaces  
9 or extension fractures). First, they are thicker and exhibit smaller  
10 offsets than classical slip surfaces of comparable length. Also,  
11 whereas cohesion is lost or reduced across ordinary fractures,  
12 most deformation bands maintain or even increase cohesion.  
13 Furthermore, deformation bands often exhibit a reduction in  
14 porosity and permeability, whereas both slip surfaces and tension  
15 fractures are typically associated with a permeability increase.  
16 Strain hardening behaviour, commonly associated with deformation  
17 band formation, also contrasts to the strain softening  
18 associated with classical fractures. These differences in mechanical  
19 evolution and structural expression may significantly influ-



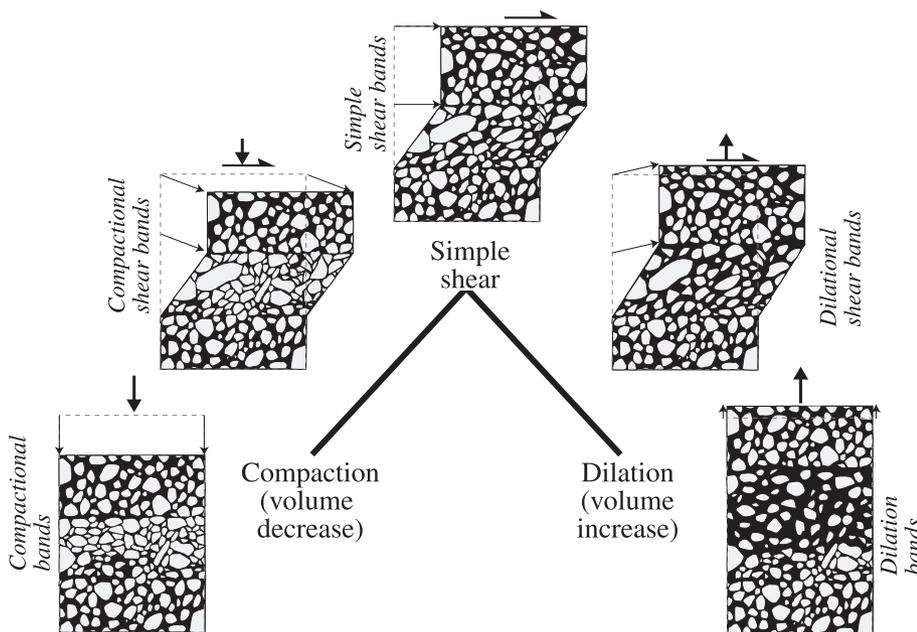
**Fig. 2.** Some different settings where deformation bands commonly develop: vertical uplifts and related monoclinical drape folds (Jamison & Stearns 1982); rift settings (Fisher & Knipe 2001); around salt structures (Antonellini *et al.* 1994); above shale diapirs, around thrusts and reverse faults (Cashman & Cashman 2000); glaciotectonic settings (Hooke & Iverson 1995); areas of gravity-driven collapse (Hesthammer & Fossen 1999).

- 1 ence fluid flow and therefore have direct implications for the
- 2 management of the porous hydrocarbon and groundwater reser-
- 3 voirs in which they are very likely to occur.
- 4 Kinematically, deformation bands can be classified (Fig. 3) as

1 dilation bands, shear bands, compaction bands or hybrids of  
 2 these types (e.g. Aydin *et al.* 2006). The majority of deformation  
 3 bands described in the geological literature are shear bands with  
 4 attendant compaction (compactional shear bands) caused by  
 5 grain reorganization with or without cataclasis. This compaction  
 6 contributes to strain hardening and the creation of a localized  
 7 band network or zone that precedes faulting (e.g. Schultz &  
 8 Balasko 2003; Sipton & Cowie 2003). Early stages of shear  
 9 band formation may also involve a component of dilation, and,  
 10 although rare, dilational shear bands have been observed in  
 11 experiments and in the field (Antonellini *et al.* 1994; Bésuelle  
 12 2001; Borja & Aydin 2004; Okubo & Schultz 2005). Pure  
 13 compaction bands have been described in experiments and theory  
 14 (Olsson 1999; Olsson & Holcomb 2000; Issen & Rudnicki 2001;  
 15 Wong *et al.* 2001; Baud *et al.* 2004) and have been recognized in  
 16 the field (Hill 1989; Mollema & Antonellini 1996; Sternlof *et al.*  
 17 2005). They are favoured in high-porosity (20–30%) coarse sand  
 18 and sandstone (Mollema & Antonellini 1996) and have been  
 19 reported to occur in the contractional (leading) quadrants of  
 20 faults (e.g. Mollema & Antonellini 1996; Du Bernard *et al.*  
 21 2002a).

**22 Classification of deformation bands by mechanisms**

23 Although a kinematics-based classification (Fig. 3) is logical, it  
 24 is also useful to classify deformation bands in terms of the  
 25 dominant deformation mechanism operating during their forma-  
 26 tion (Fig. 4). Deformation mechanisms depend on internal and  
 27 external conditions such as mineralogy, grain size, shape, sorting,  
 28 cementation, porosity and stress state. Different mechanisms  
 29 produce bands with different petrophysical properties. Thus, such  
 30 a classification is particularly useful where permeability and fluid  
 31 flow are an issue. The dominant deformation mechanisms are:  
 32 (1) granular flow (grain boundary sliding and grain rotation);  
 33 (2) cataclasis (grain fracturing and grinding or abrasion); (3) phyllo-  
 34 silicate smearing; (4) dissolution and cementation.



**Fig. 3.** Kinematic classification of deformation bands.

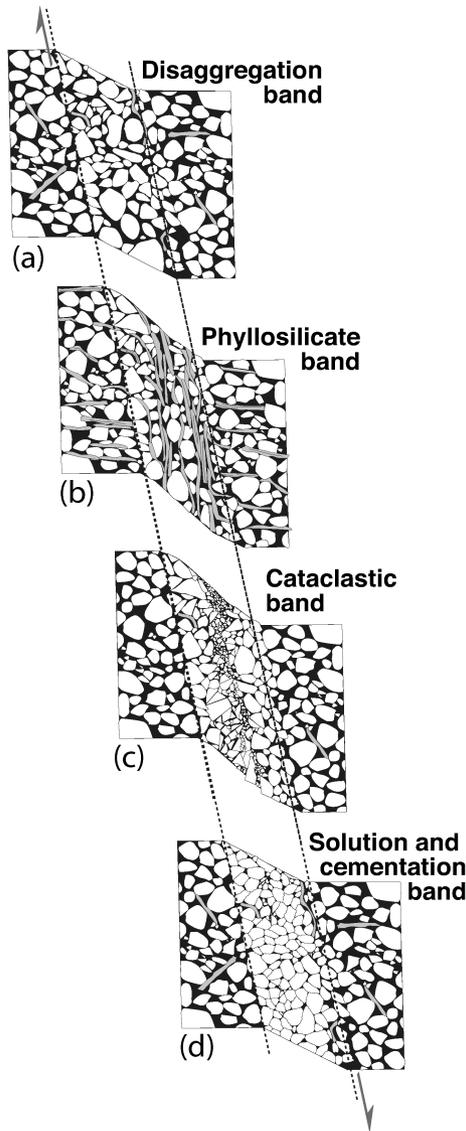


Fig. 4. The principal types of deformation bands, based on deformation mechanism.

### 1 Disaggregation bands

2 Disaggregation bands (Figs 1a and 4a) develop by shear-related  
3 disaggregation of grains by means of grain rolling, grain  
4 boundary sliding and breaking of grain bonding cements, a  
5 process referred to as granular flow (e.g. Twiss & Moores 1992)  
6 or particulate flow (e.g. Rawling & Goodwin 2003). They are  
7 commonly found in sands and poorly consolidated sandstones  
8 (Mandl *et al.* 1977; Du Bernard *et al.* 2002a; Bense *et al.* 2003),  
9 and form the ‘faults’ produced in sandbox experiments (e.g.  
10 McClay & Ellis 1987). Disaggregation bands can be almost  
11 invisible in homogeneous quartz sand(stone)s, but may be  
12 detected where they cross and offset laminae (Fig. 1a). Their true  
13 shear offsets are typically some centimetres, their lengths less  
14 than a few tens of metres, and their thicknesses vary with grain  
15 size of the host (Fig. 5). Fine-grained sand(stone)s develop bands  
16 c. 1 mm thick, whereas coarser-grained sand(stone)s host single  
17 bands that may be at least 5 mm thick.

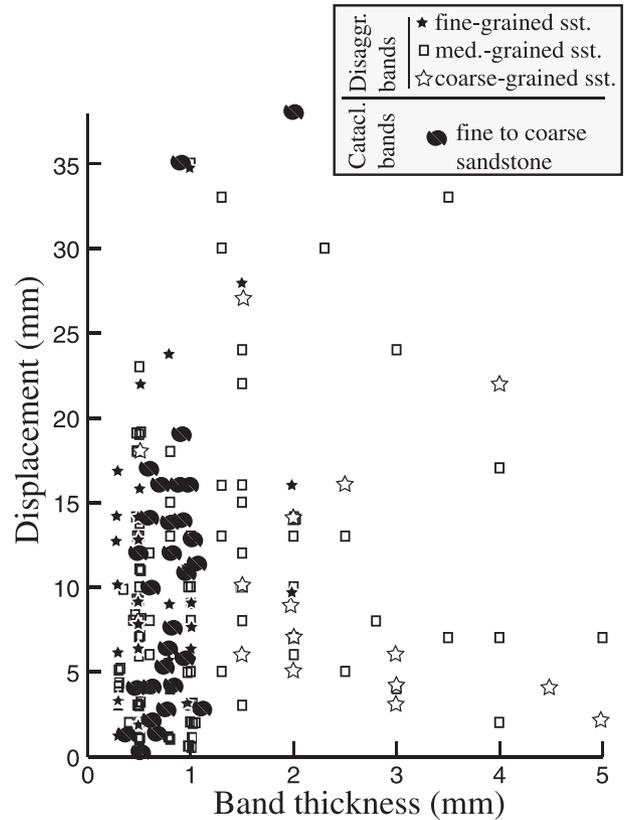


Fig. 5. Deformation band thickness v. displacement, plotted for different lithologies. Cataclastic deformation bands are from the Entrada Sandstone, San Rafael Desert, Utah. Data from disaggregation–phyllosilicate bands are from Jurassic sandstones in the Gullfaks Field reservoir, northern North Sea. It should be noted that fine-grained bands are thinner than coarse-grained bands.

1 Macroscopically, disaggregation bands are effectively ductile  
2 shear zones in the sense that sand laminae can typically be traced  
3 continuously through the band. The amount of shearing and  
4 compaction (pore-space collapse) that actually occurs along  
5 disaggregation bands depends on the nature and properties of the  
6 sandstone. Most pure and well-sorted quartz sand deposits are  
7 already compacted to the extent that the initial stages of shearing  
8 involves some dilation (Antonellini & Pollard 1995; Lothe *et al.*  
9 2002), although continued shear-related grain reorganization may  
10 reduce the porosity at a later point.

### 11 Phyllosilicate bands

12 Phyllosilicate bands (framework phyllosilicate bands of Knipe *et al.*  
13 1997) form in sand(stone) where the content of platy minerals  
14 exceeds 10–15%. They can be considered as a particular type of  
15 disaggregation band where platy minerals promote frictional  
16 grain boundary sliding (Fig. 1b) rather than grain fracturing  
17 (cataclasis).

18 Where clay is the dominant platy mineral, the clay minerals  
19 tend to mix with other mineral grains by a process referred to as  
20 deformation-induced mixing (Gibson 1998). The resulting bands  
21 are fine-grained, low-porosity zones (Fig. 1c) called deformation  
22 bands with clay smearing by Antonellini *et al.* (1994). Coarser  
23 phyllosilicate grains align to form a local fabric within the bands

1 as a result of shear-induced rotation (Fig. 1b). Such phyllosilicate  
2 bands tend to show rotation of mica-rich laminae into the band.

3 In general, phyllosilicate bands can accumulate greater offsets  
4 than other types of deformation bands. This is due to the  
5 smearing of the platy minerals along phyllosilicate bands that  
6 counteracts strain hardening from interlocking of grains. They  
7 are easily detected, as the aligned phyllosilicates give the band a  
8 distinctive colour or fabric. An ordinary disaggregation band  
9 may transform into a phyllosilicate band where the phyllosilicate  
10 content of the rock increases (Fig. 1b).

11 If the clay content of the host rock is high enough (>40%  
12 according to Fisher & Knipe 2001), the structure becomes a  
13 clay smear. A clay smear is a continuous surface or thin zone of  
14 clay that forms by reorientation, flow, and/or extrusion of clay  
15 minerals. Striations seen on many clay smears indicate that they  
16 act (and should be classified) as slip surfaces rather than  
17 deformation bands. Field examples of cataclastic deformation  
18 bands becoming phyllosilicate bands or clay smears as they cross  
19 sandstone–siltstone boundaries are relatively common (Johansen  
20 & Fossen 2007).

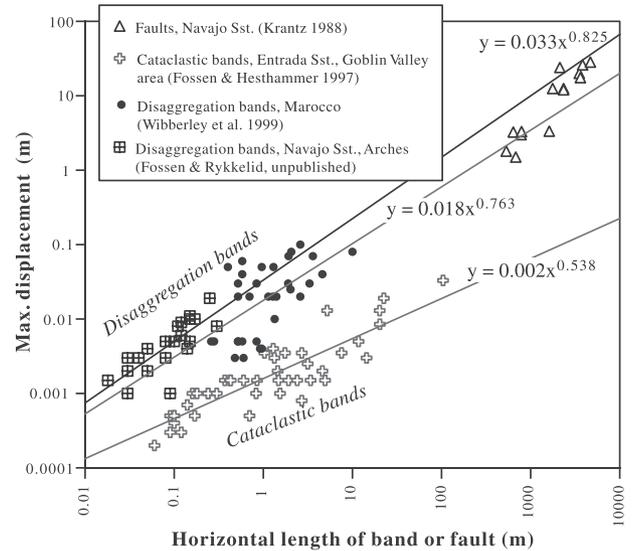
### 21 Cataclastic bands

22 The classic cataclastic deformation bands described by Aydin  
23 (1978), Aydin & Johnson (1983) and Davis (1999) occur when  
24 mechanical grain fracture is a significant deformation mechanism.  
25 These bands consist of a central cataclastic core, commonly  
26 within a volume of compacted rock (Fig. 1d). The core is  
27 characterized by a wide grain-size distribution and high matrix  
28 content because of grain-size reduction, angular grains and a  
29 distinct absence of pore space. The surrounding volume is  
30 typically characterized by compaction (as a result of granular  
31 flow) and gentle fracture of grains. As pointed out by Aydin  
32 (1978), the crushing of grains during cataclasis results in  
33 extensive grain interlocking, promoting strain hardening. Strain  
34 hardening may explain the somewhat smaller displacements  
35 observed on cataclastic deformation bands (<3–4 cm), compared  
36 with disaggregation bands of similar lengths (Fig. 6).

4

5

37 Cataclastic bands are found in porous sandstones throughout  
38 the world; for example, in the Suez rift (Beach *et al.* 1999; Du  
39 Bernard *et al.* 2002b), France (Wibberley *et al.* 2000), the UK  
40 (Underhill & Woodcock 1987; Beach *et al.* 1997; Knott 1993),  
41 Ordovician sandstones of Oklahoma (Pittman 1981), Permian  
42 sandstones of the southern North Sea (Fisher & Knipe 2001) and  
43 southeastern Norway (Lothe *et al.* 2002), and the Jurassic  
44 sandstones of southwestern USA (e.g. Aydin 1978; Jamison &  
45 Stearns 1982; Davis 1999). They are mostly observed in rocks  
46 that have been buried to depths of 1.5–2.5 km; hence it is  
47 assumed that most cataclastic bands form at such depths; that is,  
48 after lithification but prior to uplift. Interestingly, cataclastic  
49 bands are also observed in unconsolidated or poorly consolidated  
50 sands in accretionary prism sediments (Lucas & Moore 1986;  
51 Karig & Lundberg 1990; Ujiie *et al.* 2004), Californian marine  
52 terrace sand (Cashman & Cashman 2000) and loose sandstones  
53 of the Rio Grande Rift (Heynekamp *et al.* 1999; Rawling &  
54 Goodwin 2003). Cataclastic bands have also been observed in  
55 non-welded ignimbrites and tuffs (Wilson *et al.* 2003), Rhine  
56 Graben and Roer Valley loess (Bense *et al.* 2003) and subglacial  
57 till (Hooke & Iverson 1995; van der Meer *et al.* 2003). Rawling  
58 & Goodwin (2003) suggested that cataclastic bands formed in  
59 sediments at shallow depths are characterized by grain spalling  
60 and flaking whereas the deeper cataclastic bands commonly  
61 exhibit transgranular fracturing and grain crushing. However,  
62 Cashman & Cashman (2000) showed that cataclastic bands



37

**Fig. 6.** Displacement–length relationship for cataclastic deformation bands and disaggregation bands. The two categories of deformation bands occupy different fields of the diagram, and define different semi-linear trends; cataclastic bands are much longer than disaggregation bands with respect to offset.

- 1 formed in unconsolidated marine sand buried no deeper than
- 2 50 m exhibit grain crushing.

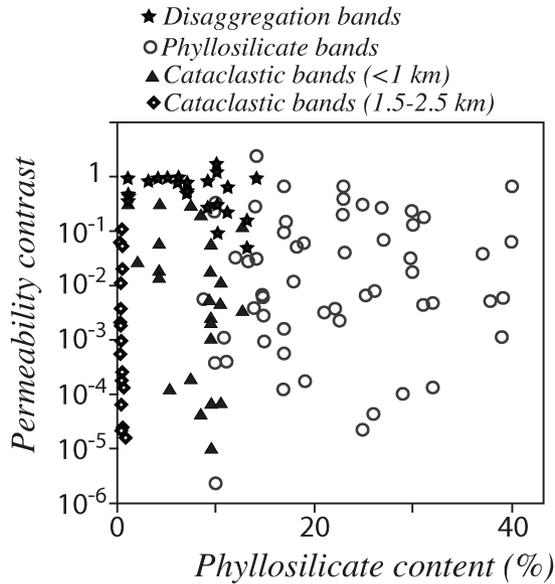
### 3 Dissolution, cementation and diagenesis

4 Dissolution and cementation may occur preferentially along a  
5 deformation band during or, more commonly, after deformation.  
6 If solution, also referred to as chemical compaction or pressure  
7 solution, is significant the term ‘solution band’ is warranted.  
8 Solution bands (Gibson 1998) typically consist of tightly packed  
9 grains smaller in size than the matrix, but showing little evidence  
10 of cataclasis. Although quartz dissolution accelerates at >90 °C  
11 (Walderhaug 1996; i.e. depths greater than *c.* 3 km), dissolution  
12 is a common feature of deformation bands formed at shallower  
13 depths. Whereas dissolution is promoted by clay minerals on  
14 grain boundaries, cementation in deformation bands is promoted  
15 by fresh and highly reactive surfaces formed during grain  
16 crushing and/or grain boundary sliding. Cementation is particu-  
17 larly pronounced in deformation bands where undeformed host  
18 sand grains are coated by diagenetic minerals such as chlorite  
19 (Ehrenberg 1993) and illite (Storvoll *et al.* 2002). The coating  
20 prevents cementation except in the deformation bands, where the  
21 coating is broken by fracturing and sliding to expose fresh quartz  
22 surfaces (Leveille *et al.* 1997; Hesthammer *et al.* 2002). Cemen-  
23 tation may also be promoted by localized tensile fracture in the  
24 centre of a deformation band (Gabrielsen & Koestler 1987;  
25 Leveille *et al.* 1997) and subsequent precipitation of minerals  
26 such as calcite, anhydrite, salt, hydroxides and quartz. Fisher &  
27 Knipe (2001) suggested such cementation to be discontinuous  
28 and restricted to extensional jogs in deformation band samples  
29 from the southern North Sea.

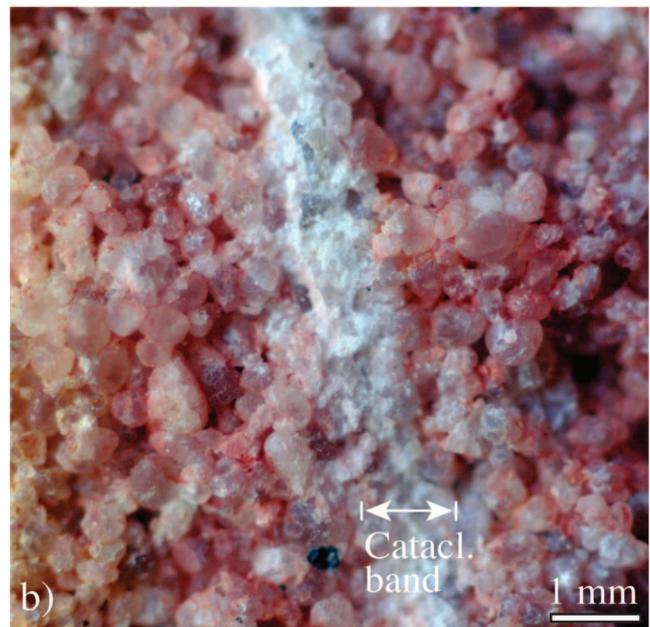
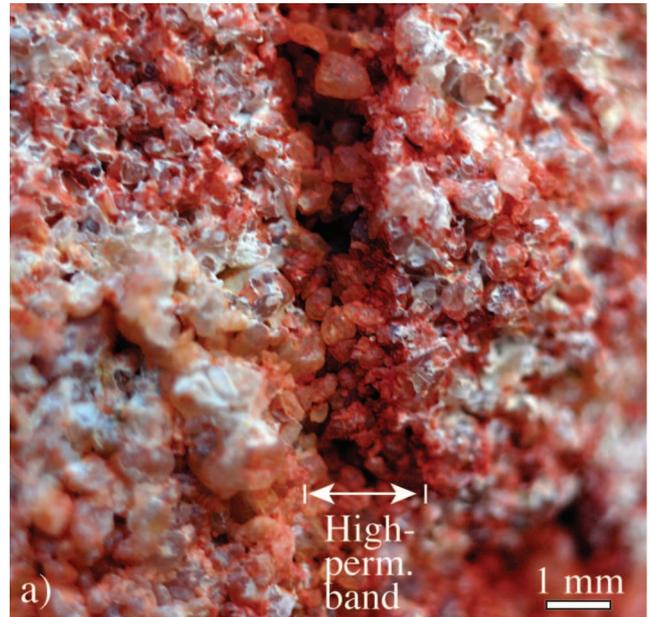
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### 30 Petrophysical properties

31 Permeability measurements across deformation bands (Fig. 7)  
32 have led many workers to conclude that deformation bands



**Fig. 7.** Permeability data (plug measurements) for deformation bands. Data from Fowles & Burley (1994), Sigda *et al.* (1999), Fisher & Knipe (2001) and Lothe *et al.* (2002).



**Fig. 8.** Two deformation bands in the same layer of the Nubian Sandstone, Tayiba Red Beds, Sinai. (a) Disaggregation (dilation) band where the porosity is higher than that of the host rock. (b) Cataclastic band showing considerable porosity collapse. Whereas the cataclastic deformation band represents a low-permeability structure in the sandstone, the disaggregation band represents a conduit for fluids.

1 reduce transmissibility in a reservoir. However, there are other  
 2 cases where deformation bands appear to be conduits for fluids  
 3 (e.g. Parry *et al.* 2005; Sample *et al.* 2006). The influence of  
 4 deformation bands on fluid flow depends on their internal  
 5 permeability relative to the surrounding rock. Two extreme cases  
 6 are shown in Figure 8, the first representing a deformation band  
 7 with a higher porosity and permeability than the host rock (Fig.  
 8 8a), the second with considerably lower porosity and permeabil-  
 9 ity than the host (Fig. 8b). In these two examples the mineralogy  
 10 is almost identical, and the difference lies in deformation  
 11 mechanism: disaggregation with little grain fracture in the first  
 12 case, compared with intense cataclasis in the second (Fig. 8b).  
 13 We now highlight the influence of specific deformation mechan-  
 14 isms on the resulting petrophysical properties of deformation  
 15 bands.

#### 16 *Disaggregation bands*

17 Disaggregation bands can result in an enhancement or reduction  
 18 of porosity depending on whether they have a dilatational or  
 19 compactional component. Du Bernard *et al.* (2002a) reported  
 20 that their pure dilation bands represent an increase of porosity of  
 21 7%, although the pore space has later been filled with clay-rich  
 22 cement in this case. Antonellini *et al.* (1994) found a similar  
 23 figure of 8% porosity increase in dilatant shear bands in Arches  
 24 National Park. Du Bernard *et al.* (2002) suggested that the  
 25 increased porosity of dilation bands should be transient, because  
 26 of the increased infiltration of clays into the enhanced pore  
 27 network. Mollema & Antonellini (1996) reported that compaction  
 28 bands reduced the porosity from 25% in the host rock to less  
 29 than a few per cent in the compaction band. These observations  
 30 agree with field evidence for preferred fluid flow along dilation  
 31 bands, as reported by Bense *et al.* (2003) and Sample *et al.*  
 32 (2006). Other disaggregation bands may be less porous and  
 33 permeable than the host rock. A permeability reduction of up to  
 34 one order of magnitude has been observed in phyllosilicate-  
 35 bearing sandstones (Fisher & Knipe 2001). However, most of

1 these porosity and permeability contrasts are relatively low, and  
 2 disaggregation bands generally have little influence on the  
 3 permeability of sandstone reservoirs (Fig. 7).

#### 4 *Phyllosilicate bands*

5 Phyllosilicate bands typically reduce permeability by an amount  
 6 depending on phyllosilicate abundance, phyllosilicate type, phyl-  
 7 losilicate distribution, displacement along the band, and grain

1 size (Knipe 1992). On average, the reduction in permeability for  
 2 North Sea reservoirs is around two orders of magnitude but can  
 3 be up to five orders of magnitude where the phyllosilicate grains  
 4 are small (<0–5 µm; Fisher & Knipe 2001). The reduction is  
 5 caused mainly by mixing and alignment of platy minerals, and  
 6 depends on the specific arrangement of platy minerals and thus  
 7 the shear strain. Typically, these factors, and therefore also  
 8 permeability, vary along the deformation bands, depending on  
 9 the local source of phyllosilicates. Hence, the effective influence  
 10 of phyllosilicate bands on fluid flow is controlled by the points of  
 11 lowest and highest permeability. Estimates of permeability reduction  
 12 associated with phyllosilicate bands from core plugs may  
 13 therefore incorrectly reflect their effective influence on fluid flow  
 14 during production of a hydrocarbon reservoir.

### 15 *Cataclastic bands*

16 The majority of published studies of petrophysical properties in  
 17 deformation bands have focused on cataclastic deformation  
 18 bands dominated by shear deformation with or without additional  
 19 compaction. The porosity of cataclastic deformation bands is  
 20 reduced by up to an order of magnitude by grain crushing and  
 21 resulting change in grain-size distribution. The reduction of  
 22 porosity produces a corresponding decrease in permeability of  
 23 two to three, and locally as much as six, orders of magnitude  
 24 with respect to the host rock (Pittman 1981; Jamison & Stearns  
 25 1982; Harper & Moftah 1985; Knott 1993; Antonellini & Aydin  
 26 1994; Gibson 1994, 1998; Knipe *et al.* 1997; Crawford 1998;  
 27 Antonellini *et al.* 1999; Fisher & Knipe 2001; Jourde *et al.* 2002;  
 28 Shipton *et al.* 2002). The very low (<1%) porosity core of some  
 29 well-developed cataclastic shear bands results in permeabilities  
 30 as low as 0.001 mD (Freeman 1990; Antonellini & Aydin 1994;  
 31 Knipe *et al.* 1997; Fisher & Knipe 2001; Shipton *et al.* 2002).  
 32 Cataclastic compaction bands produced experimentally by Hol-  
 33 comb & Olsson (2003) showed a reduction in permeability of  
 34 around two orders of magnitude.

### 35 *The effect of dissolution and cementation*

36 Cementation and dissolution in deformation bands may signifi-  
 37 cantly increase the reduction of porosity and permeability caused  
 38 by mechanical crushing and reorganization of grains (Ngwenya  
 39 *et al.* 2000; Ogilvie & Glover 2001). A transient increase in  
 40 permeability occurred in the experiments of Main *et al.* (2000),  
 41 associated with initial dilation (e.g. Mandl *et al.* 1977; Bernabe  
 42 & Brace 1990). This provides a way for fluids to enter the  
 43 deformation band, and the entrance of reducing fluids at this  
 44 stage offers an explanation of the bleaching of deformation bands  
 45 (Parry *et al.* 2004). A similar mechanism may explain cemen-  
 46 tation within low-porosity cataclastic deformation bands (Fowles  
 47 & Burley 1994; Labaume & Moretti 2001; Parnell *et al.* 2004;  
 48 Sample *et al.* 2006).

49 Cementation probably occurs after, rather than during, the  
 50 formation of deformation bands, and the solution and precipita-  
 51 tion of quartz accelerates after burial and heating to above *c.*  
 52 90 °C (Walderhaug 1996). Fisher & Knipe (2001) reported a  
 53 general decrease of permeability with depth for cataclastic  
 54 deformation bands that have experienced post-deformational  
 55 burial in the southern North Sea. As a result of the enhanced  
 56 chemical reactivity of fresh broken or abraded grain surfaces in  
 57 the cataclastic bands, precipitates probably include efficient  
 58 permeability-reducing clay minerals in addition to quartz. Pre-  
 59 cipitation of secondary minerals such as carbonates and anhydrite  
 60 along fractures during deformation band reactivation has also

1 been described. Fisher & Knipe (2001) cautioned, however, that  
 2 the discontinuous nature of many such cements in the North Sea  
 3 reservoirs makes them a less significant influence on fluid flow  
 4 than may be expected from thin-section or hand-sample investi-  
 5 gations. An additional effect of quartz cementation is to lower  
 6 porosity and increase the strength of the host rock such that  
 7 subsequent deformation may lead to the development of ordinary  
 8 fractures that actually represent fluid-flow conduits.

### 9 **Effect on fluid flow**

10 It has been shown that the majority of deformation bands show  
 11 some reduction in permeability, some by as much as several  
 12 orders of magnitude. However, their practical effect on fluid flow  
 13 is not clear. For single-phase flow (i.e. water flowing in a water-  
 14 saturated rock or oil flowing in an oil-saturated rock), the  
 15 thickness and permeability of the deformation band zone are the  
 16 controlling factors on fluid flow (Darcy flow). Simple numerical  
 17 analyses demonstrate that the number of deformation bands (i.e.  
 18 thickness of the zone) and/or the permeability reduction must be  
 19 significant for deformation bands to seriously effect fluid flow  
 20 (Matthai *et al.* 1998; Walsh *et al.* 1998). Nevertheless, complex  
 21 zones of deformation bands have been blamed for reduced  
 22 productivity in some oil wells (e.g. Harper & Moftah 1984).

23 For two-phase flow (i.e. oil flowing through a water-saturated  
 24 rock, or groundwater flowing through the vadose zone), capillary  
 25 pressure becomes relevant. In hydrocarbon reservoirs the capil-  
 26 lary threshold pressure of the fault rock determines how much  
 27 oil can accumulate on one side of the fault before across-fault  
 28 migration occurs. Calculations predict that deformation bands  
 29 cannot hold much more than a 20 m (Harper & Lundin 1997) or  
 30 perhaps up to 75 m (Gibson 1998) high column of hydrocarbons.

31 Regardless of whether one- or two-phase flow is considered,  
 32 the practical consequence of deformation bands depends on other  
 33 factors than permeability contrasts. In particular, their continuity  
 34 or variation in thickness and permeability in three dimensions is  
 35 critical. Field observations of deformation bands indicate that  
 36 their thickness and porosity change significantly even along  
 37 single bands. The same is the case with deformation band  
 38 clusters. Clearly, the weakest point of the deformation band  
 39 network influences its effect on flow. The physical connectivity  
 40 of bands is a related factor, and they both undermine the effect  
 41 of deformation bands as sealing and flow-reducing structures.  
 42 However, the presence of deformation bands and deformation  
 43 band zones may still change the flow pattern if they have a  
 44 preferred orientation. Sigda *et al.* (1999) observed that low-  
 45 porosity deformation bands can act as preferential groundwater  
 46 flow paths through the vadose zone. Similar channelization can  
 47 be visualized during production of a petroleum reservoir. During  
 48 oil production stimulated by water injection, pockets of residual  
 49 oil may also remain in ‘shadow zones’ as a result of capillary  
 50 trapping (Manzocchi *et al.* 2002). This effect should be consid-  
 51 ered during planning of wells and simulation of oilfields where  
 52 low-permeability deformation bands are a concern.

### 53 **Formation conditions of deformation bands**

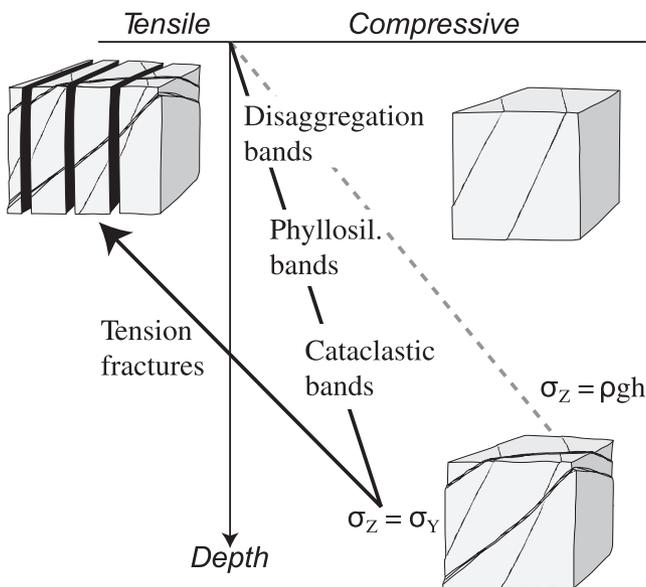
54 Given the range of deformation band characteristics and their  
 55 influence on fluid flow, considerable attention has been devoted  
 56 to understanding the conditions that control their formation. A  
 57 number of factors are important, including confining pressure  
 58 (burial depth), deviatoric stress (tectonic environment), pore fluid  
 59 pressure and host rock properties, such as degree of lithification,  
 60 mineralogy, grain size, sorting, and grain shape.

1 Some of these intrinsic host rock properties are approximately  
 2 constant for a given sedimentary rock layer. However, they may  
 3 vary dramatically from one layer to another, resulting in rapid  
 4 changes in deformation band style across lithological boundaries.  
 5 Factors such as porosity, permeability, confining pressure,  
 6 stress state and cementation are likely to change with time; hence  
 7 deformation bands may record a temporal evolution associated  
 8 with, for instance, increasing burial depths. The temporal  
 9 sequence of deformation structures in a given rock is an  
 10 important geological signature that reflects the physical changes  
 11 experienced during burial, lithification and uplift.

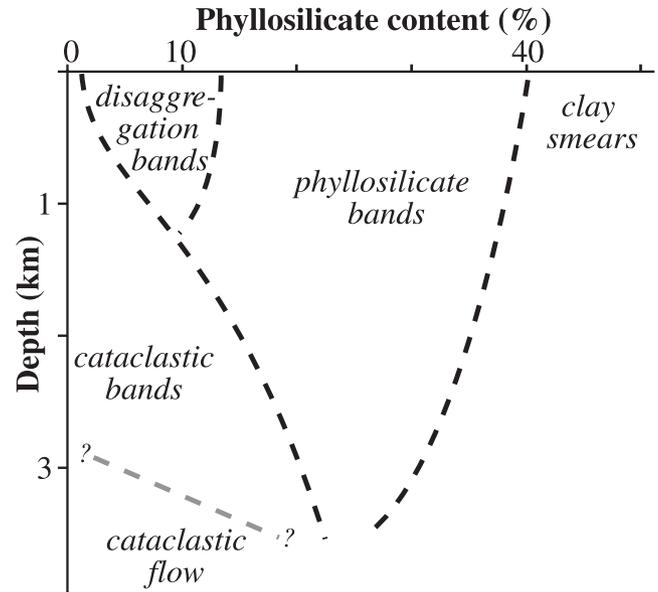
### 12 Temporal sequence of deformation in sandstones

13 The earliest forming deformation bands in sandstones are  
 14 typically disaggregation bands (Fig. 9). These structures form at  
 15 low confining pressures (i.e. shallow burial) when forces acting  
 16 across grain contact surfaces are low and grain bindings are weak  
 17 (Fig. 10). Early disaggregation bands are often related to local,  
 18 non-tectonic gravity-controlled deformation, such as local shale  
 19 diapirism, underlying salt movement, gravitational sliding and  
 20 glaciotectonics (e.g. Antonellini *et al.* 1994).

21 Cataclastic deformation bands can form in poorly consolidated  
 22 sands at <1 km burial depths (e.g. Lucas & Moore 1986;  
 23 Cashman & Cashman 2000; Rawling & Goodwin 2003). Shallow  
 24 cataclasis is promoted where well-sorted and well-rounded grains  
 25 lead to high grain-contact stress, and in feldspar and other  
 26 minerals that have well-developed crystallographic cleavage (e.g.  
 27 Zhang *et al.* 1990). In general, shallowly formed cataclastic  
 28 bands show less intense cataclasis than those formed at greater  
 29 (1–3 km) depths. Abundant examples of cataclastic deformation  
 30 bands found in the Jurassic sandstones of the Colorado Plateau  
 31 (Fig. 1d) highlight a clear temporal evolution from early  
 32 disaggregation bands to later cataclastic bands (e.g. Antonellini  
 33 *et al.* 1994). Phyllosilicate bands may form at a variety of depths  
 34 if enough (c. 15% or more) phyllosilicates are present.



**Fig. 9.** Theoretical stress history for a simple burial and uplift history of sandstones (Engelder 1993) in relation to structural development. In contrast to shales, sandstones enter the tensile regime during uplift, and tension fractures (joints) form.



**Fig. 10.** Schematic illustration of how the different deformation band types relate to phyllosilicate content and depth. Many other factors influence on the boundaries outlined in this diagram, and we do not know in any great detail how they interact. In addition, the transitions are gradual, so the boundaries drawn should be considered as uncertain.

1 Once a rock becomes a cohesive lithology with reduced  
 2 porosity, deformation tends to occur by crack propagation instead  
 3 of pore space collapse, and slip surfaces, joints and mineral-filled  
 4 fractures can form. Slip surfaces associated with deformation  
 5 bands probably form as a result of significant porosity reduction  
 6 within many deformation band zones. Joints and veins can also  
 7 form in sandstones that have lost porosity because of lithification  
 8 and quartz cementation. Thus, slip surfaces, joints and veins  
 9 almost invariably postdate both disaggregation bands and cata-  
 10 clastic bands in sandstones. Because quartz cementation-related  
 11 porosity reduction may vary locally, deformation bands and  
 12 joints may develop simultaneously in different parts of a  
 13 sandstone, but locally the temporal sequence is: (1) deformation  
 14 bands; (2) faulted deformation bands (i.e. slip surface); (3)  
 15 jointing; (4) reactivation of joints as faults (e.g. Johansen *et al.*  
 16 2005).

17 Lithification and loss of porosity are not the only reasons why  
 18 extension fractures occur as late structures in most exposed  
 19 porous sandstones. Such sandstone sequences tend to portray  
 20 regional joint sets influenced by removal of overburden and  
 21 related cooling during regional uplift. Although clearly impor-  
 22 tant, such features are unlikely to be developed in subsurface  
 23 petroleum reservoirs unless they have been significantly uplifted.  
 24 Thus, knowing the burial and uplift history of a basin in relation  
 25 to the timing of deformation events is very useful when consid-  
 26 ering the type of small-scale structures present in, say, a  
 27 sandstone reservoir. Conversely, examination of the type of  
 28 deformation structure present also gives information about  
 29 deformation depth and other conditions at the time of deforma-  
 30 tion.

### 31 Sensitivity to lithological variations

32 Field observations of deformation bands crossing lithological  
 33 contacts in layered sedimentary sequences provide important

1 information on lithology control on deformation band style. We  
 2 assume that a single deformation band that crosses adjacent  
 3 layers formed at approximately the same geological time, depth  
 4 and stress conditions in all layers. Field observations clearly  
 5 reveal that the transformation of a single structure from a  
 6 cataclastic or disaggregation band into a phyllosilicate band  
 7 coincides with the transition from well-sorted sandstone to poorly  
 8 sorted sandstone with a higher percentage of phyllosilicates.  
 9 Another common observation is that cataclastic bands change  
 10 into disaggregation bands as they enter more fine-grained and  
 11 poorly sorted sandstones. Such transition clearly depends on  
 12 factors such as porosity, mineralogy and grain size. If the  
 13 contrast is high enough, the deformation band may actually  
 14 terminate at the contact between two layers or transform into a  
 15 slip surface (Schultz & Fossen 2002).

16 These observations are consistent with experimental work  
 17 indicating that a decrease in porosity and grain size would inhibit  
 18 cataclasis in sandstone (e.g. Chuhan *et al.* 2002). Laboratory and  
 19 field observations suggest that the presence of silica cement  
 20 promotes microfracturing and finer-grained cataclastic deforma-  
 21 tion bands (Johansen *et al.* 2005). However, the presence of a  
 22 hematite grain coating (Main *et al.* 2001) encourages grain  
 23 boundary sliding, thus favouring disaggregation bands rather than  
 24 cataclastic deformation bands. Primary grain mineralogy will  
 25 also play a role, and cataclasis is observed to be more intense in  
 26 lithic or feldspathic sand than in quartz sand (e.g. Chuhan *et al.*  
 27 2002; Rawling & Goodwin 2003). Flodin *et al.* (2003) argued  
 28 that porosity is a primary control on deformation band structure,  
 29 where increased porosity leads to high grain contact stress, and  
 30 thus favours the formation of cataclastic deformation bands.

31 For a subsurface petroleum or groundwater reservoir, the  
 32 ability to make an accurate prediction of deformation structures  
 33 and their permeability characteristics at various stratigraphic  
 34 levels from a basic input of lithology and burial history is highly  
 35 desirable. Although important advances have been made in this  
 36 direction, more experimental and field-based work is required to  
 37 properly understand the coupling between the many factors that  
 38 control deformation in sandstones and other porous media.

### 39 **The connection between deformation bands, faults and** 40 **damage zones**

41 Field data show that deformation bands occur as isolated  
 42 structures, linked systems, complex zones of multiple, intercon-  
 43 nected deformation bands, and in fault damage zones (Fig. 11)  
 44 (e.g. Aydin & Johnson 1983; Hesthammer & Fossen 2001).  
 45 Laboratory observations (Mair *et al.* 2000) have confirmed field-  
 46 based predictions that the number of distinct deformation bands  
 47 increase with increasing strain. Detailed mapping of outcrops of  
 48 faulted cataclastic deformation bands shows that slip surfaces  
 49 tend to nucleate in small patches in deformation band zones that  
 50 propagate, link up, and ultimately form through-going slip  
 51 surfaces with accumulated strain (Shipton & Cowie 2001).  
 52 Mature, through-going slip surfaces are commonly associated  
 53 with a thin (millimetre thick) core of ultracataclasite (e.g. Aydin  
 54 & Johnson 1978; Shipton & Cowie 2001). Intense localized grain  
 55 crushing also occurs within zones of deformation bands prior to  
 56 slip-surface development (Shipton & Cowie 2001, fig. 11;  
 57 Johansen *et al.* 2005, fig. 9), suggesting that grain crushing is an  
 58 incipient stage in the formation of slip surfaces.

59 The number of deformation bands formed locally at the time  
 60 of slip-surface formation is probably sensitive to several factors,  
 61 including porosity, grain size, cement, mineralogy and over-  
 62 burdened stress (i.e. depth). Small-scale (5–20 m throw) faults in

1 fluvial to shallow marine North Sea sands deformed at <1 km  
 2 depth typically exhibit 10–15 deformation bands on either side  
 3 of the slip surface (Hesthammer & Fossen 2001), whereas small  
 4 faults in aeolian sandstones deformed at *c.* 2 km depth may have  
 5 50–100 bands or more (Aydin 1978). This indicates that more  
 6 substantial fault damage zones form at greater burial depths  
 7 (Mair *et al.* 2002). The influence of host rock lithologies is  
 8 demonstrated in the Moab area of Utah, where the Navajo  
 9 Sandstone develops considerably more deformation bands than  
 10 the Entrada Sandstone for a given strain. This relationship is also  
 11 seen on bed-scale, where deformation band frequency may vary  
 12 dramatically from bed to bed (Fig. 12), depending on the  
 13 lithological factors discussed above. Preliminary field data sug-  
 14 gest that high-porosity, well-sorted sandstones develop the widest  
 15 damage zones around minor faults.

16 The length of the deformation band process zone ahead of a  
 17 fault tip also varies depending on lithology. This zone is most  
 18 extensive (up to >100 m) in well-sorted and highly porous  
 19 sandstones such as the Entrada and Navajo Sandstone (Shipton  
 20 & Cowie 2001; Rotevatn *et al.* 2007). Such deformation band  
 21 process zones (Fig. 11) may therefore influence fluid flow in  
 22 regions ahead of seismically mapped fault tips. Given that fault  
 23 offsets less than 10–20 m are not resolved in commercial seismic  
 24 surveys, it is common to use displacement–length scaling  
 25 relations to extend seismically resolvable fault tips (Pickering *et*  
 26 *al.* 1997). The presence of deformation band process zones  
 27 should also be included in this type of analysis (although see  
 28 below for a discussion of displacement–length scaling).

29 Once a continuous slip surface has formed, strain accumulates  
 30 predominantly by frictional sliding. If subsequent fault growth  
 31 and strain accommodation was dominated by strain softening,  
 32 then damage zone thickness should be independent of fault  
 33 displacement. However, in many cases, large faults appear to  
 34 have wider damage zones than small faults (Fig. 13), suggesting  
 35 that damage zones are still active during localized fault slip  
 36 (Shipton & Cowie 2003). This may be caused by fault locking as  
 37 a result of non-planar or interfering slip surfaces (Rykkelid &  
 38 Fossen 2002). Therefore, structural elements in damage zones  
 39 around deformation band faults may be both remnants from the  
 40 pre-faulting stage as well as syn-faulting damage (Schultz &  
 41 Siddharthan 2005).

42 The orientations of deformation bands in damage zones will  
 43 clearly influence the permeability structure. Conjugate sets of  
 44 deformation bands are common in places such as the Colorado  
 45 Plateau (e.g. Berg & Skar 2005; Fossen *et al.* 2005), the Permian  
 46 basins of the southern North Sea–UK area (e.g. Fowles & Burley  
 47 1994) and North Sea Middle Jurassic reservoirs (Hesthammer *et*  
 48 *al.* 2000), with one set subparallel to the main slip plane and the  
 49 other dipping in the opposite direction. Mutual cross-cutting  
 50 relationships show that these conjugate sets form contempora-  
 51 neously (Zhao & Johnson 1991; Olsson *et al.* 2004). It is  
 52 anticipated that fluid flow parallel to the strike of these conjugate  
 53 bands would be easier than flow across damage zones. In detail,  
 54 complications in damage zone structure often increase at fault  
 55 branch points or stepovers (Antonellini & Aydin 1995; Tindall &  
 56 Davis 1999; Johansen *et al.* 2005). The complex variation of  
 57 deformation band geometry in damage zones has the potential to  
 58 influence flow in a complicated manner.

### 59 **Deformation band mechanics**

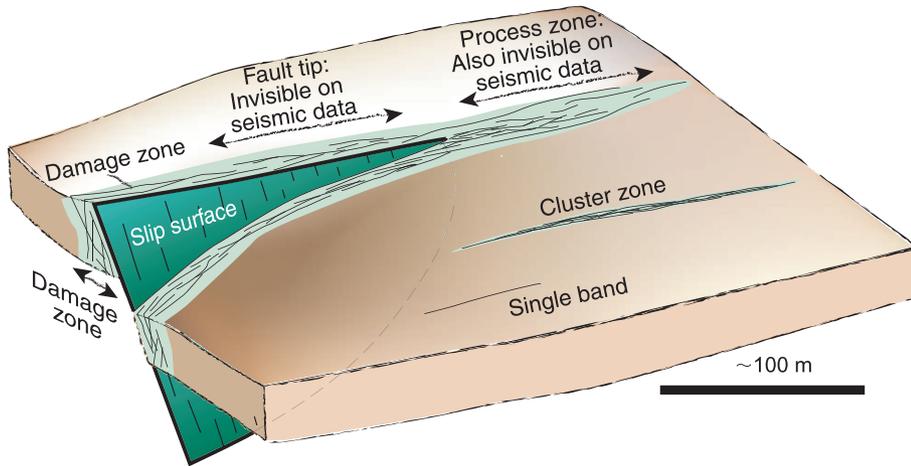
60 A considerable amount of theoretical work has been carried out  
 61 on the development of deformation bands in rocks (e.g. Rudnicki  
 62 & Rice 1975; Rudnicki 1977; Aydin & Johnson 1983; Issen &

12

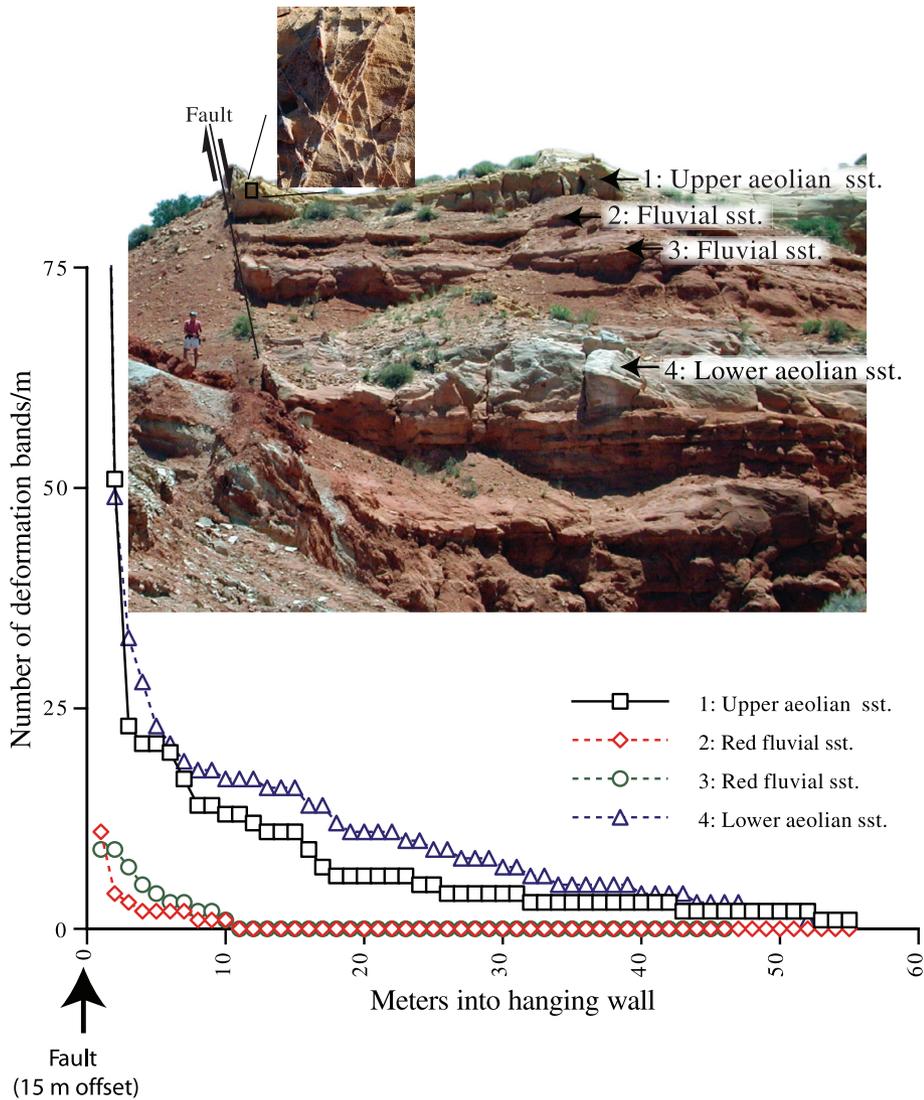
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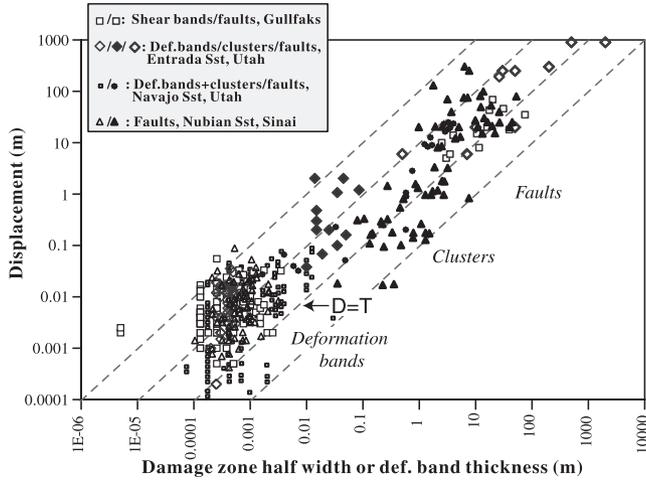
**Fig. 11.** Fault tip in porous sandstone. Deformation bands are formed in the ‘process zone’ ahead of the fault tip. The length of the zone varies from lithology to lithology, but can be up to several hundred metres and thus should be considered when evaluating fluid flow in many faulted hydrocarbon reservoirs.



**Fig. 12.** Characterization of the damage zone for a minor fault in layered sand-siltstones of the Entrada Sandstone (San Rafael Desert). Band frequency varies from sandstone layer to sandstone layer, and is highest in the well-sorted, aeolian layers (1 and 4).

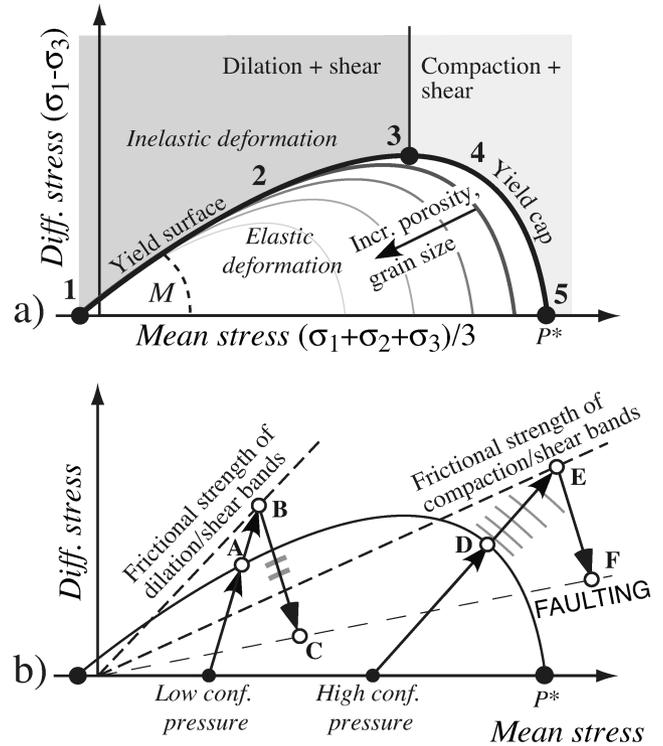
1 Rudnicki 2000; Borja & Aydin 2004), providing a firm foundation for understanding these structures. An approach called the  
2 ‘Cam cap’ model of yielding and band formation is now widely  
3 used (Wong *et al.* 1992, 2004; Borja & Aydin 2004; Schultz &

1 Siddharthan 2005; Aydin *et al.* 2006). This approach provides a  
2 consistent framework for understanding the development of  
3 deformation bands, damage zones, and attendant faulting.  
4 The model is best described using a  $q$ - $p$  stress diagram (Fig.



**Fig. 13.** Half-width of damage zone plotted against displacement in log-log space for faults, together with thickness–displacement data for deformation band zones and individual deformation bands in some porous sandstones. Gullfaks data from Fossen & Hesthammer (2000), Entrada data from Fossen (unpubl.), Navajo data from Shipton & Cowie (2001) and Fossen (unpubl.), and Sinai data from Beach *et al.* (1999) and Rotevatn & Fossen (unpubl.)

36



**Fig. 14.** The  $q$ – $p$  diagram (deviatoric stress–mean stress) applied to porous rocks (after Schultz & Siddharthan 2005). (a) Inelastic yielding of the host rock (bold curve) produces dilatant bands (1), dilatant shear bands (2), shear bands (3), compactional shear bands (4), and compaction bands (5). The yield surface or cap depends on porosity and grain size as indicated. (b) Localization of deformation bands for a compressive remote stress state. Stress paths A–C are for dilatant shear bands, and D–F for compactional (i.e. cataclastic) shear bands. Dashed grey lines in (b) depict moving yield surfaces in the direction of the frictional sliding line, leading to faulted deformation bands.

14), where the coordinate axes  $q$  and  $p$  represent the differential stress and the mean stress, respectively. The yield surface (Fig. 14) separates the elastic (recoverable strain) from the inelastic (deformation-band-forming) regimes. Its shape depends on the physical properties of the deforming rock (e.g. Wong *et al.* 1992; Issen & Rudnicki 2000; Mair *et al.* 2002a; Borja 2004; Borja & Aydin 2004). The type of deformation band that forms will depend on the state of stress at the moment of inelastic yielding; that is, on the point of intersection between the loading path and the yield surface. For example, dilatant shear bands are formed at relatively low confining pressures (segment 2 of Fig. 14a) whereas compactional shear bands are formed at higher confining pressures (segment 4). The critical pressure,  $P^*$  (point 5 in Fig. 14a), is the pressure at which compaction occurs in the absence of shearing. This value scales approximately with the product of grain size and porosity (Zhang *et al.* 1990; Wong *et al.* 1997) such that as grain size and/or porosity increase, the critical pressure and the yield surface decrease (Fig. 14a). Thus unconsolidated sand can form compaction bands at relatively shallow depth whereas consolidated sandstone requires much higher confining pressures (Mair *et al.* 2002a).

As an illustration, compactional shear bands (path D–E in Fig. 14b) form at relatively high confining pressure when differential stress increases and the rock begins to compact and shear. As this occurs, the grain-to-grain contacts experience a much larger compressive stress, eventually promoting grain crushing and fracturing (Zhang *et al.* 1990). Grain crushing leads to (1) reduced average grain size within a growing band, (2) a tighter packing geometry, (3) increased grain angularity, and consequently increased shear resistance (Mair *et al.* 2002b). These factors inhibit shearing displacements within a cataclastic band, resulting in strain hardening. However, because grain crushing depends on several factors including mineralogy, grain size, packing geometry, grain composition, cementation (lithification) and shape (Wong *et al.* 1997; Wong & Baud 1999), the same stress state may produce compaction with or without cataclasis in different sandstones. Strain hardening moves the rock off the

yield cap and upward until the stress state associated with frictional sliding along some sections of the band array is achieved (Fig. 14b, path D–E). At this point, slip surfaces nucleate and grow throughout the band network (Aydin & Johnson 1978; Shipton & Cowie 2001, 2003), and the band ‘fails’ unstably (Aydin & Johnson 1983), forming a faulted compactional shear band array (Schultz & Siddharthan 2005).

**8 Scaling relationships**

*9 Displacement–length relationships*

Deformation band displacement profiles are qualitatively similar to those of faults; for example, both develop an along-strike displacement profile with a central maximum (e.g. Fossen & Hesthammer 1997, 1998). However, some distinct differences between faults and deformation bands are worth examining. Faults with length in excess of *c.* 100 m commonly exhibit a power law relationship between length ( $L$ ) and maximum displacement ( $D$ ) that can be expressed as (e.g. Cowie & Scholz 1992; Clark & Cox 1996)

$$D = cL^n$$

where  $c$  is a constant. The exponent ( $n$ ) has a value of

1 approximately one across nine orders of magnitude for faults as  
 2 small as tens of centimetres long (Clark & Cox 1996; Schlische  
 3 *et al.* 1996) but was found to be *c.* 0.5 for a population of  
 4 cataclastic deformation bands in Utah (Fig. 6). Wibberley *et al.*  
 5 (2000) also reported unusually small *D/L* ratios for cataclastic  
 6 deformation bands in porous Cretaceous sandstone in Orange,  
 7 France, indicating that cataclastic deformation bands have less  
 8 displacement per unit length than faults.

9 Wibberley *et al.* (2000) explained their *D/L* observations by  
 10 invoking the high frictional strength of cataclastic deformation  
 11 bands in porous sandstones; they estimated that porous sand-  
 12 stones have lower shear moduli than other coarse-grained  
 13 sedimentary rocks. Although this can certainly account for the  
 14 smaller values of *D/L*, it cannot alone account for a reduction in  
 15 slope from 1.0 to 0.5 observed for cataclastic deformation bands.

16 Schultz & Fossen (2002) attributed the anomalously low *D/L*  
 17 ratios for Entrada Sandstone deformation bands to lithological  
 18 layering, and suggested that the deformation bands that nucleate  
 19 in the sandstone layer have difficulties propagating into the  
 20 adjacent low-porosity, silty layers. They suggested that once a  
 21 growing deformation band spans the thickness of the sandstone  
 22 layer, it keeps growing horizontally until a through-going slip  
 23 surface forms and cuts through the stratigraphy. The abundant  
 24 field evidence indicating that deformation bands are sensitive to  
 25 lithology and grow selectively in layers of high porosity supports  
 26 this hypothesis.

27 Disaggregation bands exhibit *D/L* scaling similar to ordinary  
 28 faults and slip surfaces (Fig. 6). Wibberley *et al.* (1999) related  
 29 this observation to ‘slow’ tip propagation that exploits the  
 30 reduced shear modulus in unlithified sand compared with  
 31 sandstone. In this hypothesis, ‘slow’ implies that strain hardening  
 32 in cataclastic bands may promote tip propagation rather than  
 33 continued accumulation of offset in the central portion of the  
 34 band. The result would be long cataclastic bands with small *D/L*  
 35 ratios. For unlithified sand, strain hardening appears to be  
 36 subordinate or absent, and strain accumulates more readily  
 37 through continued shearing along the band (large *D/L* ratios).

## 38 Conclusions

39 The distinct style of deformation band faulting observed in  
 40 porous rocks, where faulting is preceded by a history of  
 41 deformation band formation and accumulation, has several  
 42 implications. First, the resulting fault is contained in a volume of  
 43 rock containing deformation bands (the damage zone) that add to  
 44 the flow-reducing property of the fault. The thickness of this  
 45 deformation band zone, and therefore their effect on fluid flow,  
 46 scales positively with fault displacement. Second, because the  
 47 damage zone extends beyond the tip line of the fault by up to  
 48 several hundred metres, this potentially lengthens the region that  
 49 may perturb fluid flow. A third implication is that fault orienta-  
 50 tion (strike and dip) is influenced by the orientation of the  
 51 deformation band zone in which it grows (Johnson 1995;  
 52 Johansen *et al.* 2005; Okubo & Schultz 2006).

53 The effectiveness of deformation bands as fluid flow barriers  
 54 or baffles depends only partly on how their internal petrophysical  
 55 properties are altered relative to the surrounding rock, which is  
 56 primarily dictated by deformation mechanisms and mineralogy.  
 57 Other important factors are the number of bands (collective  
 58 thickness), their orientation and their continuity, and the variation  
 59 in permeability and porosity along strike and dip.

60 The specific properties of deformation bands compared with  
 61 ordinary fractures (joints and slip surfaces) makes it important to  
 62 investigate the controls on when deformation bands will form.

1 Porosity seems to be an important factor controlling whether  
 2 deformation bands or slip surfaces form as the first mesoscopic  
 3 structures during rock failure. Few experimental or field data are  
 4 available to pinpoint such a critical porosity limit, but it may be  
 5 of order 10–15% for many sandstones (Wong *et al.* 1997). Other  
 6 rock properties (cementation, clay content, grain size, grain  
 7 shape), burial depth and fluid pressure will contribute to this  
 8 limit, as may the local and remote state of stress. Field studies  
 9 indicate that unusually thin deformation bands (a half to a  
 10 quarter the size of normal bands) may form in the borderland  
 11 between the two regimes of classical fracturing and deformation  
 12 banding. Johansen *et al.* (2005) related a sequence of deforma-  
 13 tion band (first), thin deformation bands and jointing–shear  
 14 fractures (last) to progressive quartz cementation and the corre-  
 15 sponding decrease in porosity. Clearly, detailed field observations  
 16 coupled with laboratory tests and physical and numerical model-  
 17 ling will yield a better understanding of these structures and a  
 18 better prediction of their effect on fluid flow in hydrocarbon  
 19 reservoirs and groundwater aquifers.

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